



ETHICAL GOVERNANCE IN THE 21ST CENTURY

Policy and Ethics. Together.



MARCH 26 & 27, 2015

STUDENTS FOR ETHICAL GOVERNANCE AND POLICYMAKING

499 Pierce St. — Monterey CA 93940



Middlebury Institute of International Studies at Monterey

Welcome to the inaugural SEGP Conference, “Ethical Governance in the 21st Century”. As the hosting institution, the Middlebury Institute of International Studies at Monterey (MIIS) is proud to be embarking on what is sure to be an engaging and challenging two-day foray into the realm of applied ethics. Over the next two days, you will see policymakers, academics, researchers, business owners, journalists, and activists discuss the ethical issues of seven distinct policy fields.

International relations, digital ethics, the environment, international development, national security, governance, and corporate compliance will all be addressed by leaders in their respective policy areas. Fielding close to twenty discussants from all over the country, this conference re-affirms the willingness of the Middlebury Institute to go beyond the work done in the classroom. Real learning and reflection is done in the real world, by gaining experiences. If there is one take-away from this conference for all attendees, it is that the best way to insulate future policymakers from falling victim to ethical pitfalls in their future work is to absorb the challenges that current specialists face by engaging them in honest, open discussion.

It is this philosophy that will guide the moderators and panelists during the next two days. It is because of this, their willingness to be part of this conversation, and the effort they will bring to each discussion that I wish to thank them for joining us at the Institute this week. Thanks are also in order for the countless staff and faculty that have helped make this event possible.

Lastly, I would like to acknowledge the organizers of this event - the Students for Ethical Governance in Policymaking. President Alessandro Regio, Vice-President Darina Burina, and Director of Outreach Terri Pugh have shown tremendous leadership and direction for this year-long project, balancing the responsibilities of conference planning with being full-time students at the Institute. Their untiring determination has made this conference possible.

With that, I wish all panelists, moderators, and conference-goers a productive conference and confluence of minds and perspectives.

Best Regards,

A handwritten signature in blue ink, appearing to read 'Jeffrey Dayton-Johnson', with a long horizontal line extending to the right.

Jeffrey Dayton-Johnson
Vice President of Academic Affairs and
Dean of the Institute



460 Pierce Street, Monterey CA 93940

March 26th, 2015

Dear Attendees,

On behalf of the Middlebury Institute of International Studies at Monterey (MIIS) and the Students for Ethical Governance and Policymaking (SEGP), I would like to personally welcome you all to the inaugural SEGP Conference. "Ethical Governance in the 21st Century" is, by all means, an experiment. An experiment made to join what policy "ought" to do with what policy actually does in the real world. Ask anyone who has worked in any policy field and they will tell you that it is indeed an uphill battle to combine the normative and the descriptive.

Yet, there is still a want for not just policymakers, but the public as well, to have this happen. "No laws exist for the sake of having laws exist" is more than just a quote. It means to express the fact that laws and policies exist not because they must but instead because they must help people. Whether that is in the realm of international relations, economic development, or cyber security, it is all in the hope of better serving the people we represent.

A talk by Dr. Linton Wells II will kick-off the conference, followed by a debate on the exciting topic of climate geo-engineering moderated by MIIS' own Dr. Lyuba Zarsky. The first day will end with a keynote address from Amb. Lewis Lukens, as he will draw upon his ambassadorships in Senegal and Guinea-Bissau to explore the ethical trials of statecraft. The second day will feature a rousing discussion on development practices and ethics abroad within the context of education, led by Thrive Network's Kelly McCann. An action-packed round-table talk led by our own Dr. Bale on drones will follow that discussion, featuring Dr. James Walsh and Dr. Amos Guiora. Our last panel will feature The Californian's own Jeff Mitchell leading a discussion about the ethics of governance with the current mayors of Santa Cruz, Salinas, and Pacific Grove, along with former Monterey County Sheriff Scott Miller. Jim Richards from Wells Fargo and Co. will close the conference by speaking about compliance and corporate ethics.

Ethical violations in policymaking are a reality that all policymakers, whether practitioners or students, should be made aware of. Participants of "Ethical Governance in the 21st Century" will be able to leave the conference having been exposed to different ways of thinking critically about their actions in policymaking practices. More importantly, participants will be able to share and learn ways to recognize and mitigate ethical violations. Remember, discussion is never a spectator sport.

Myself and the rest of the SEGP staff would like to once again welcome you to "Ethical Governance in the 21st Century". We hope that you will enjoy the panels, the discussion, and the atmosphere.

Sincerely,

A handwritten signature in black ink, which appears to read "Alessandro Regio", is written over a horizontal line.

Alessandro Regio

Acknowledgements from the Students for Ethical Governance and Policymaking

Though the English language is vast, I find myself searching for enough positive adjectives to describe the help and effort of those who contributed so much to this conference. Without their guidance, their support, and their encouragement, surely this would have been all for naught. It was their initial funding that got this project off the ground. Them entrusting a newly founded student club with such a frankly ambitious goal took not only courage, but above all else faith in our abilities and in the spirit of higher academia. Different offices, centers, and programs around the MIIS campus contributed greatly to the financial wellbeing of this conference, and for that, we thank you.

Firstly, we would like to thank the Middlebury Institute of International Studies at Monterey, Vice-President Dayton-Johnson, and Dean of the Graduate School of International Policy and Management Kent Glenzer. Vice-President Dayton-Johnson provided the SEGP with both procedural and contextual assistance since the beginning stages. In his newly appointed position, he was instrumental in helping us reflect on the course and heading of our conference, as well as providing some wonderful encouragement. Dean Glenzer was instrumental to the final stages of planning this conference, and made our message heard as much as possible.

Secondly, we would like to thank our community sponsors, our organizational partners, and individual donors. Their contribution does not go unnoticed, as you will be able to find them at the back of this program listed in full. It is with their help that this conference reached the quality that it shows today. Of our community partners who helped us organize this conference, we would like to especially thank Alan and Arlene Haffa, and Professor Todd Weber from Monterey Peninsula College.

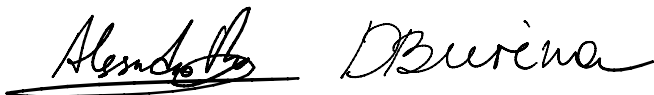
Thirdly, we would like to thank the people that made this conference happen. We would like to thank Marty Goss and Vince Mascal for their logistical and media support respectively, and the many MIIS students working under them who have helped during the planning and setup. We are also very lucky to have MIIS' Translation and Interpretation Program, the best in the world, help us make this conference accessible to people around the globe.

For their amazing help in reviewing our progress along the way: Professors Akaha, Black, Cohen, Dow, Laurance, Lochard, and Ruehsen, General Howard, Jerry Hildebrand, Dayton Hughes, Kate Battiato, Edy Rhodes, Jen Holguin, Gael Meraud, Melissa Jennings, and Evelyn Helminen. For their steadfast support of the conference and the SEGP as a student club at MIIS: Dr. Sunder Ramaswamy, Dr. Amy Sands, Dr. Yuwei Shi, Stacie Riley, Ashley Arrocha, Ted Bouras, Kenley Butler, Jason Warburg, and Eva Gudbergsdottir.

Last but certainly not least, we would like to extend a particular special thanks to Barbara Burke. Ms. Burke was, without exaggeration or hyperbole, "genesis" for this conference. Since we first met with her in April, she has been a constant support and source of direction for all functions required to make this conference reach the standards befitting an event at the Monterey Institute.

What you as a conference-goer will see over the next two days is not only the work and commitment of SEGP staff and volunteers, but also the immeasurable amount of knowledge, expertise, and guidance provided by so many of you who have supported us from the very beginning. To those of you who have contributed to this grand experiment of ours in the world of applied ethics and policymaking, we thank you dearly, and would gladly do it all over again with you.

Sincerely,



The Students for Ethical Governance and Policymaking 2015

CONFERENCE SCHEDULE

Thursday, March 26th, 2015

<i>TIME</i>	<i>EVENT</i>	<i>LOCATION</i>
12:00 pm	Opening	Irvine Auditorium
12:10 pm	How Your Data is Being Used, Whether you Know it or Not	Irvine Auditorium
2:00 pm	Afternoon Break	-
4:00 pm	Ethics of Climate Geo-Engineering	Irvine Auditorium
6:00 pm	Keynote Address: Fmr. Ambassador Lewis Lukens	Irvine Auditorium

Friday, March 27th, 2015

<i>TIME</i>	<i>EVENT</i>	<i>LOCATION</i>
9:00 am	Breakfast	McCone Atrium
10:00am	Contextualizing Education in Development Projects	Irvine Auditorium
12:00pm	Lunch	Samson Reading Room
1:30pm	Drones: Strategy, Usage and Ethics	Irvine Auditorium
3:30pm	Responsibility to Lead: The Ethics of Governing	Irvine Auditorium
5:30pm	Closing Address: Jim Richards, Executive Vice-President BSA Officer at Wells Fargo & Co.	Irvine Auditorium
6:00pm	Post-Conference Organization Showcase Reception	McCone Atrium

KEYNOTE ADDRESS:

Is a Values-Based Foreign Policy Possible in the 21st Century?

Former Ambassador Lewis Lukens



Ambassador Lewis Lukens assumed his duties as Diplomat in Residence in September 2014. Most recently he served as US Ambassador to Senegal and Guinea-Bissau, managing bilateral relations with both West African nations. As the son of a diplomat, Lukens once lived in Senegal as a child. Following his father, Lukens, as a child, also lived in Morocco, Kenya and Denmark. Lukens graduated from St. Paul Catholic School in Princeton, New Jersey in 1982 and earned his bachelor's degree in history in 1986 from Princeton University. He returned to Princeton in 2002 and earned a master's in public policy.

After joining the Foreign Service in 1989, Lukens' first two tours were in Guangzhou, China, (1990) and Abidjan, Côte d'Ivoire (1992). He later served management officer in Sydney, Australia (1995), management counselor in Dublin, Ireland (2003) and as executive secretary in Baghdad, Iraq (2004). Prior to that posting, from 2008-2011, he was Executive Director of the State Department's Executive Secretariat. In that capacity he led a team that provided all logistical and travel support to Secretary of State Hillary Clinton and other senior-level State Department officials. Lewis traveled over half a million miles with Secretary Clinton, to almost one hundred countries. From 2005 to 2008 he was Consul General in Vancouver, British Columbia, leading an interagency team that handled US relations with western Canada.

Lukens has served in a variety of positions in the State Department and the White House. He was senior director for administration at the National Security Council during and after the Sept. 11 terrorist attacks, served in the State Department's Executive Secretariat, and worked as special assistant to the director general of the Foreign Service. He was U.S. Consul General in Vancouver, Canada, from 2005-2008. In this capacity, he oversaw the work of eight government agencies and managed a range of trade, border, national security, and public diplomacy issues. Prior to becoming ambassador, Lukens returned to the State Department's Executive Secretariat, this time as executive director managing the office that provides travel, information technology, human resource, budget, security, and contracting support to the secretary of state and other State Department leaders.

CONFERENCE EVENTS

How Your Data is Being Used, whether you Know it or Not

Thursday, March 26th – 12:10pm

Speaker: Dr. Linton Wells II, Former Principal Deputy Assistant Secretary of Defense

Dr. Linton Wells II has held civilian leadership positions in national security affairs at Senior Executive Service or equivalent levels for over 20 years. This included service as acting Assistant Secretary of Defense for Networks and Information Integration (ASD NII) and Department of Defense Chief Information Officer (CIO). In these two roles he oversaw the U.S. Defense Department's \$30 billion budget for information technology and related areas and was responsible for enhancing the Department's networked capabilities and support structures. Other senior positions related to Command, Control, Communications and Intelligence (C3I) as well as the interface between policy and technology. Dr. Wells has written widely on security studies in English and Japanese journals. He co-authored Japanese Cruisers of the Pacific War, which was published in 1997 and Strategic Shift, an analysis of DoD strategic guidance, published in 2013. He also co-edited a series of books on international security transformation and leader development. He received the Woodrow Wilson Award for Distinguished Government Service from the Johns Hopkins University and has thrice been awarded the U.S. Department of Defense Medal for Distinguished Public Service.

Ethics of Climate Geo-Engineering

Thursday, March 26th – 4:00PM

PANEL CHAIR

Dr. Lyuba Zarsky, Associate Professor for International Environmental Policy Program, Middlebury Institute of International Studies at Monterey

Professor Zarsky has a PhD in Economics and has a distinguished record of professional experience and publication in the fields of sustainable development and business and sustainability. She was Co-Founder and Co-Director of the Nautilus Institute for Security and Sustainability (1992-2001) and staff economist on the Commission for the Future for the Government of Australia (1989-1991). Since 1991, she has consulted numerous international and national organizations, including the Organization for Economic Cooperation and Development, Asian Development Bank, United Nations Development Program, and the Government of Australia and Environment Institute at Tufts University in Boston (2002-present). Professor Zarsky has a joint appointment with the International Master of Business Administration (MBA) and the International Environmental Policy (IEP) programs in the Graduate School of International Policy and Management

PANELISTS

Dr. Ken Caldeira, Carnegie Institution for Science, Department of Global Ecology

Ken Caldeira is a climate scientist at the Carnegie Institution for Science, where his job is "to make important scientific discoveries." He also serves as a Professor (by courtesy) in the Stanford University Department of Environmental Earth System Science. Among Caldeira's key contributions to science are his relatively early recognition of the threats posed by ocean acidification, his pioneering investigations into the environmental consequences of intentional intervention in the climate system ("geoengineering"), and the first peer-reviewed study to estimate near-zero-emission energy needs consistent with a 2°C climate stabilization target. He has also played a central role in helping to elucidate what our understanding of long-term geochemical cycles implies for the fate of today's carbon dioxide emissions. Caldeira serves on the U.S. National Academy of Sciences panel on Geoengineering Climate: Technical Evaluation and Discussion of Impacts. He is also a contributing author to the Intergovernmental Panel on Climate Change (IPCC) AR5 report Climate Change 2013: The Physical Science Basis. He participated in the UK Royal Society geoengineering panel in 2009 and ocean acidification panel in 2005.

Dr. Wil Burns, Co-Executive Director, Forum for Climate Engineering Assessment

Wil Burns, PhD, is a Scholar in Residence at the School of International Service, at American University. From 2012 to 2014 he founded and directed the MS in Energy Policy and Climate Program at Johns Hopkins University, where he taught courses in domestic and international climate change law and domestic energy law. He holds a PhD in International Environmental Law from the University of Wales-Cardiff School of Law. He has taught at Williams College, Colby College, Santa Clara University School of Law and the Monterey Institute of International Studies of Middlebury College. Prior to becoming an academic, he served as Assistant Secretary of State for Public Affairs for the State of Wisconsin and worked in the non-governmental sector for twenty years, including as Executive Director of the Pacific Center for International Studies, a think-tank that focused on implementation of international wildlife treaty regimes.

Contextualizing Education in Development Projects

Friday, March 27th – 10:00AM

PANEL CHAIR

Kelly McCann, Director of Enterprise Development at Thrive Networks

Kelly McCann joined Thrive Networks in 2014. She has over 10 years experience working on Wall Street in emerging market political and economic risk, global financial regulatory analysis and compliance. Kelly has experience living, working, and studying in Russia, Uganda, Switzerland and Senegal. In West Africa, she led a consulting project for the World Bank and the government of Senegal. In rural Uganda, she worked at a clinic providing education on prevention, detection, and treatment of malaria and sold bed nets. In addition, she monitored and evaluated the effectiveness of these programs. She completed her M.A. in International Economic and Political Development at Columbia University with a Certificate in Russian Studies from the Harriman Institute. She was a Foreign Language and Area Studies Fellow. She studied Russian at Moscow State University and received a B.A. in Political Science with minors in Russian and Environmental Studies from the University of Vermont.

PANELISTS

Charito Kruvant, CEO and Founder of Creative Associates Intl.

Charito Kruvant is President and CEO of Creative Associates International, Inc. a 31-year-old professional and technical services firm that builds capacity for education, civil society, communities in transition, elections and political processes and stabilization and development, among many other efforts. Prior to founding Creative, Charito was a prominent advocate for and designer of the Advisory and Learning Exchange in Washington D.C. bilingual education and learning disabled programs. She also founded centers for bilingual education and community development programs in several Central and Latin American countries. Charito is the chair of the Board of Trustees of The Community Foundation for the National Capital Region. She also serves as a member of the Board of Directors of Acacia Federal Savings Bank and Calvert Funds for socially responsible investing as well as the board of the Summit Fund of Washington, and of the executive and grants committees of the Venture Philanthropy Partners. Charito is also a member of the Economic Club of Washington D.C. and the Executive Committee of the Federal City Council. She is a former chair of the Advisory Council of the U.S. Small Business Administration Washington D.C. office.

Paula Leroy, Educator at Learning Abilities

Paula LeRoy-Antaki has taught History, World Cultures, Economics, Humanities, Government, Dance, Video, ESL, and Resource in California schools, mostly in urban areas with less enfranchised populations. Presently, she tutors students with learning difficulties such as dyslexia and ADHD. Her mission has been to broaden the experiences of her students, using simulations and interactive curriculum while building community and compassion. Breaking down stereotypes and racism, while raising up appreciation of the “other” has been integral. An equally important focus is nurturing skills to help students succeed, such as effective writing, language development and self-esteem. She has also worked with gang members and youth in addiction. At MIIS, Paula discovered radio to be a terrific tool for information dissemination.

Beril Unver, Senior Programs Officer at Pacific Council on International Policy

Beril Unver is the Senior Programs Officer at the Pacific Council on International Policy. Ms. Unver oversees the Programs portfolio: she manages the Pacific Council’s line-up of conferences and programs, plans and executes international delegations, and additionally implements new strategies and initiatives around programs. Before joining the Council, Ms. Unver resided in Washington D.C., working in various policy and project management capacities with the Department of Energy, the U.S. Commission on International Religious Freedom, and the Turkish Coalition of America (TCA). In addition to establishing an extensive network on Capitol Hill, she successfully executed 15 senior-level delegations to Europe and the Middle East that secured the organization a top spot in a U.S. News & World Report article on the most sought-after privately sponsored Congressional travel. Ms. Unver holds a B.A. in International Studies from the University of California, Irvine as well as an M.A. in International Policy.

Jonathan Berkey, President of Board at International School-to-School Partnerships

Jonathan has worked on international education and renewable energy and water development projects in Europe and Africa (Greece, Morocco, Kenya and Tanzania) for over 20 years. He served as a Peace Corps Volunteer in Marrakesh, Morocco. From 1988-’92, Jonathan worked as Associate Peace Corps Director in Kenya, serving as the Education Sector staff liaison for Math, Science and English teachers in addition to supervising water engineers, small business advisors, small town planners and forestry managers, and a total of more than 200 Peace Corps volunteers in Kenya. From 1994 to 2005, Jonathan served as president on the board of Global Education Partnership. Jonathan has most recently established the nonprofit: International School-to-School Partnerships. Although International School to School Partnerships is a “new” non-profit organization, its roots go back to a problem-solving conversation in the early 1990’s in Kenya, which resulted in the creation of Global Education Partnership (GEP).

Drones: Strategy, Usage, and Ethics

Friday, March 27th – 1:30PM

PANEL CHAIR

Dr. Jeffrey M. Bale is an Associate Professor in the Graduate School of International Policy and Management at the Middlebury Institute of International Studies (MIIS). He also regularly teaches specialized courses on an adjunct basis at the Naval Postgraduate School. He obtained his BA in Middle Eastern and Islamic history at the University of Michigan, his MA in social movements and political sociology at the University of California at Berkeley, and his PhD in contemporary European history at Berkeley. Dr. Bale has been studying violence-prone political and religious extremists for nearly three decades – long before it suddenly became “fashionable” in the wake of the tragic 11 September 2001 attacks on the United States – and has published numerous scholarly articles on terrorism, CBRN use by terrorists and states, right-wing extremism, Islamism, and covert political operations. His responsibilities at MontTREP include preparing research reports on various aspects of terrorist ideologies, motivations, and operational techniques.

PANELISTS

James Walsh, Professor at University of North Carolina, Charlotte

Dr. Walsh is a professor of the department of Political Science at the University of North Carolina at Charlotte. Prior to that, he was the associate chair of the same program for four years. He received his Ph.D. in international relations from American University in 1996. Dr. Walsh has written extensively on the issue of drones usage in the fight against global terrorism. He recently published a book, “Effectiveness of Drone Strikes in Counterinsurgency and Counterterrorism Campaigns” for the Strategic Studies Institute at the Army War College. He has also published countless journal articles, which talk about counterinsurgency, counter-terrorism, and the ethical ramifications of modern warfighting.

Amos Guiora, Professor at Utah University, College of Law

Amos Guiora is an author and Professor of Law and Co-Director of the Center for Global Justice at the S.J. Quinney College of Law, the University of Utah. Guiora who teaches Criminal Procedure, International Law, Global Perspectives on Counterterrorism and Religion and Terrorism incorporates innovative scenario-based instruction to address national and international security issues and dilemmas. Guiora was a Member of the American Bar Association’s Law and National Security Advisory Committee from 2010-2014. He is currently a Research Associate at the University of Oxford, Oxford Institute for Ethics, Law and Armed Conflict; a Research Fellow at the International Institute on Counter-Terrorism, The Interdisciplinary Center, Herzlyia, Israel; and a Corresponding Member, The Netherlands School of Human Rights Research, University of Utrecht School of Law.

Emanuel Moura, Monterey Drone

Emanuel Moura moved to Monterey in 2014, where he is currently finishing his B.S. degree in Marketing and Business Administration. While going to school at CSUMB, Emanuel has been working at Monterey Drone as an intern, where he administers business development projects and provides marketing advice. Since February, he has been working with Monterey Drone, and plans to continue working after graduating.

Michael E. Freeman, Associate Professor at Naval Postgraduate School, Department of Defense Analysis

Dr. Freeman is an Associate Professor in the Department of Defense Analysis at the Naval Postgraduate School in Monterey. His research interests focus on terrorism, international security, and U.S. foreign policy. He received a Ph.D. from the University of Chicago in 2001 and is the author of *Freedom or Security: The Consequences for Democracies Using Emergency Powers to Fight Terror* (Praeger, 2003), the co-editor of *Gangs and Guerrillas: Ideas from Counterterrorism and Counterinsurgency*, the editor of *Financing Terrorism: Case Studies* (Ashgate 2012), as well as the author of several journal articles and book chapters on terrorism, emergency powers, and terrorist financing. He is the executive editor of the journal, *Combating Terrorism Exchange*, and the creative designer of five online, “serious” games on terrorism and counterterrorism: *Balance of Terror*, *Asymmetric Warfare*, *Contagion*, *Follow the Money*, and *SpecOps*.

Capt. Timothy Doorey, USN (Ret.):

Capt. Doorey is the Maritime Security Program Manager at the Naval Post Graduate School in Monterey, California. He previously developed content, led and taught executive-level Mobile Education Teams all over the globe. He has also had extensive experience in acquiring and assessing intelligence for United States and allied coalition forces.

Responsibility to Lead: The Ethics of Governing

Friday, March 27th – 3:30PM

PANEL CHAIR

Jeff Mitchell, Columnist for “The Californian”

Senior Writer and Columnist, The Salinas Californian, Salinas, Calif. December 2010 to present. Duties include supervising The Californian’s politics and government coverage, writing the thrice weekly column, Civic Chronicles and producer of Civic Chronicles Live! a video podcast. The Californian is a Gannett Co. Inc. property. For work published in 2013 I won a First Place Award for “Best Columns” from the California Newspaper Publishers Association. In 2012 Mr. Mitchell won a national Best of Gannett award for his watchdog reporting of Salinas Valley Memorial Hospital. That same year he won a First Place Investigative Reporting Award from the California Newspaper Publishers Association.

PANELISTS

Fmr. Monterey County Sheriff, Scott Miller

Scott Miller served as Sheriff of Monterey County from 2011 to 2013. He has made a career in law-enforcement and justice since 1976, and is a Golden Coast native. Mr. Miller served both the Pacific Grove and Salinas police departments before his stint as Sheriff. He also served on numerous public service posts, notably on the Monterey County Domestic Violence Coordinating Council and the Governor’s Task Force on Sexually Violent Predators. His many accolades extend to his education. Mr. Miller holds a Bachelor’s Degree in Organizational Behavior from the University of San Francisco and a Master’s Degree in Management from Cal-Poly University in Pomona. He is also a graduate of the 170th session of the FBI National Academy at Quantico.

Mayor of Santa Cruz, Don Lane

Don Lane is an elected official, community organizer, and charitable foundation administrator in Santa Cruz, California. As a fourth generation Californian, Lane arrived in Santa Cruz in 1973 at the age of 17 to study politics and history at the University of California, Santa Cruz. After graduating with honors, he staffed the election campaign of then Congressman Leon Panetta. In 1988, Lane was elected to the Santa Cruz City Council. He also served on the Board of Directors of the Santa Cruz Metro Transit system and on the Santa Cruz County Regional Transportation Commission. More recently, Lane has worked as an administrator with three different nonprofit organizations in Santa Cruz and he is currently employed as the administrative manager of the Appleton Foundation, as Santa Cruz-based charitable foundation. He again successfully ran for a seat on the Santa Cruz City Council in 2008. He was re-elected in 2012.

Mayor of Salinas, Joe Gunter

Joe Gunter was elected as Mayor of Salinas in November 2012. After serving his country in the United States Marine Corps, Joe Gunter returned to Salinas and joined the Police Department in 1969. After 32 years as a Police Officer and Detective, he retired in 2002. Over the years he has served his community in many capacities. Joe has always been involved in community events and served six years as Director of Security for the California International Air Show. He is now the Past President of the Air Show, having served two years as President. He sits on the Board of the Central Coast Federal Credit Union and is the Chairman of the Supervisory Committee, responsible for reviewing and signing off on millions of dollars in loans. He is a Past President of the Women’s Crisis Center for Monterey County and has been a volunteer for the California Rodeo and Alisal youth sports for over 30 years. For many years he was a board member of the Bobby Sox as a coach and served as President of the organization. Joe is the first Police Officer ever elected to the position of Mayor of Salinas.

Mayor of Pacific Grove, Bill Kampe

In 2008 Bill ran successfully for the city council. In 2012 he was elected Mayor, where the issues of water supply, pension costs, and business vitality became the major focus. In addition to the role of Mayor, serves on the boards of the Monterey Peninsula Regional Water Authority and the Association of Monterey Bay Area Governments. He also served for 4 years on the board of the Fort Ord Reuse Authority, including as chair of the Finance Committee. Bill started his career in private industry after 3 years of Navy service, plus graduate degrees in engineering and business. He brings 35 years of experience with Hewlett-Packard Company and Agilent Technologies in Senior Marketing and Quality Management roles. He also serves as board chair of the Ventana Wildlife Society – restoring the California condor to the central coast.

CLOSING ADDRESS

Jim Richards, Executive Vice-President BSA Officer at Wells Fargo & Co.

Friday, March 27th – 5:30pm

Jim Richards is the Bank Secrecy Act Officer for Wells Fargo & Co., and is a member of the Compliance & Enterprise Risk Management Group. The group provides regulatory compliance oversight for the Bank and holding company, and corporate support for operations risk analysis and control, including BSA activities. Jim also heads up the enterprise BSA Steering Committee and the Corporate BSA/Office of Foreign Asset Control (OFAC) Program Office. The BSA/OFAC Program Office is working to ensure that Wells Fargo has a strong, well-understood anti-money laundering and sanctions program, including establishing corporate policy, setting priorities and directing resources, sharing information and best practices, coordinating BSA/AML/OFAC compliance activities across the company, and



investigating and reporting suspicious activity related to money laundering, terrorist financing, and customer and third party fraud. In addition to his BSA, AML, and OFAC responsibilities, Jim manages the enterprise Customer Due Diligence Group and Credit Investigations Department, and oversees the Corporate Fraud Risk Management Program.

Prior to joining Wells Fargo in October 2005, Jim was head of Bank of America's Global Anti-Money Laundering Operations group. Previously, he was head of FleetBoston Financial Group's Financial Intelligence Unit, responsible for investigating terrorist financing and money laundering. Jim was a Special Constable with the Royal Canadian Mounted Police prior to beginning his legal career, with nine years in private practice specializing in commercial litigation, and four years as a prosecutor specializing in organized crime, narcotics, asset forfeiture, and public corruption. Jim has been a two-time member of the Bank Secrecy Act Advisory Group of the U.S. Department of the Treasury, and is a founding member of the Advisory Board of the Association of Certified Anti-Money Laundering Specialists.

Jim is internationally recognized for his expertise in global anti-money laundering and terrorist financing investigation techniques. He regularly speaks at industry and government conferences and has published numerous articles in national publications. He is the author of the textbook "Transnational Criminal Organizations, Cybercrime, and Money Laundering." A native of Canada, he earned a bachelor's degree in Commerce and a Law degree from the University of British Columbia. He is a licensed attorney.

ACKNOWLEDGEMENTS

Middlebury Institute of International Studies at Monterey

Office of the Vice-President
Graduate School of International Policy and Management
International Environmental Policy Program
Development Practice and Policy Program
Center for Advising and Career Services
MIIS Student Council
MIIS Cyber Initiative
MonTREP Program at MIIS
Center for Social Impact Learning

Monterey Bay Community Partners

ACLU Monterey Chapter
Carmel Chamber of Commerce
Carmel Foundation
Cibo Restaurant
GenTrain Program at MPC
Peet's Coffee
Target of Sand City
Trader Joe's of Monterey
Whole Foods of Monterey